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IN THE U.S. PATENT AND TRADEMARK OFFICE

IAP6 Rec'd PCT/PTO 09 MAR 2007

Inventor Henning BUCHOLD et al

Patent App. 10/571,997 - US phase of PCT/EP2004/007912

Filed 10 March 2006 Conf. No. 5589

For INTERMEDIATE PRODUCT BASED ON THE ORGANIC CARBONATES AN...

Art Unit Not known

Hon. Commissioner of Patents  
 Box 1450  
 Alexandria, VA 22313-1450

RECORD OF TRANSMITTAL-PCT APPLICATION

- ☒ PCT Transmittal
- ☐ PCT Application (already filed)
- ☐ Translation (with translator's affidavit)
- ☐ Sheets of Drawing (0)
- ☒ PCT Declaration
- ☐ Pat. App. Data-entry form
- ☐ Extension (with PTO-2038)
- ☐ International Search Report
- ☐ Preliminary Amendment
- ☒ Assignment (with PTO-1595 and sep. PTO-2038)
- ☒ PTO-2038 for Official Fees

<input checked="" type="checkbox"/> Late filing	\$130
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03/14/2007 LLANDGRA 00000025 10571997

01 FC:1617	130.00 OP	Total	\$130
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Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

March 8, 2007

5676 Riverdale Ave. Box 900  
 Bronx, NY 10471-0900  
 Tel: (718) 884-6600  
 Fax: (718) 601-1099  
 Customer No. 535  
 bw

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

Andrew Wilford, Reg. 26,597



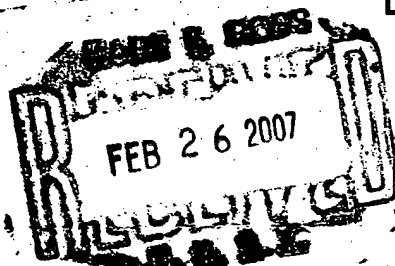
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/571,997	Henning Buchold	23508

535  
THE FIRM OF KARL F ROSS  
5676 RIVERDALE AVENUE  
PO BOX 900  
RIVERDALE (BRONX), NY 10471-0900

INTERNATIONAL APPLICATION NO.	
PCT/EP04/07912	
I.A. FILING DATE	PRIORITY DATE
07/16/2004	09/11/2003



CONFIRMATION NO. 5589

371 FORMALITIES LETTER



\*OC000000022596874\*

Date Mailed: 02/22/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/10/2006
- English Translation of the IA filed on 03/10/2006
- Copy of the International Search Report filed on 03/10/2006
- Copy of IPE Report filed on 03/10/2006
- Preliminary Amendments filed on 03/10/2006
- U.S. Basic National Fees filed on 03/10/2006
- Priority Documents filed on 03/10/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37.CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/571,997	PCT/EP04/07912	23508